

# SREC-Based Financing Program



## **Appendix 5: Certifications and Checklist for Qualifications**

Each Proponent is required to submit this form for Certifications and Checklist for Qualifications as part of its qualification package. A Proponent's Pricing Proposal may only be recommended for an award by the Solicitation Manager if the Proponent's qualification materials are complete and consistent with the requirements of Section 4.1 of the RFP Rules.

Please refer to the timeline for the current solicitation that is posted to the "Calendar" page – <http://www.njedcsolar.com/calendar.cfm> – for the deadline for qualification materials. Three (3) originals of these qualification materials must be submitted by overnight delivery service. The Solicitation Manager will acknowledge receipt of the qualification materials generally on the business day that they are received.

*All information requested below is required except if a field is clearly labeled as "optional". If the information requested in a particular field is unavailable you must enter "NA". Please enter into the box below the NJCEP Application Number for which this Appendix 5 is submitted.*

### **Section 1. Project Information**

*NJCEP Application Number*

*The NJCEP Application Number is the application number assigned to the Project under the Initial Application process of the Renewable Energy Incentive Program ("REIP") or the SREC Registration Program ("SRP"). Information necessary for the submission of the Pricing Proposal will be sent to the email address provided below. This NJCEP Application Number should be same as the NJCEP Application Number that was provided with your Expression of Interest.*

**Section 2. Contact Information**

Do you prefer to receive correspondence from the Solicitation Manager by email or fax? Please indicate your preference below.

- Email  
 Fax

Each Proponent must provide the contact information of an individual who can answer questions regarding the qualification materials and who will be available from October 14, 2010 to October 21, 2010 to provide any additional materials or clarifications requested by the Solicitation Manager.

Is this individual the Representative named in the Expression of Interest form?

- Yes**                       **No**

If **yes**, please proceed to the next section.

If **no**, please fill out the information requested below.

<i>Last Name</i> [ ]	<i>Given Name(s)</i> [ ]	<i>Mr/Mrs/Ms/Dr/(other)</i> [ ]
<i>Phone Number</i> [ ]	<i>Alternate Phone Number (optional)</i> [ ]	<i>Fax Number (optional)</i> [ ]
<i>Contact's Email Address (optional)</i> [ ]		

**Section 3.     Certifications**

**I certify that** the Project has the following characteristics:

- 1) The Project is for the construction of a solar electric (Photovoltaic or PV) system that produces electricity directly from sunlight.
- 2) The Project is not yet interconnected and the Proponent will not request interconnection prior to the Board Order on the results of this solicitation.
- 3) The Project will not be interconnected at transmission voltages.
- 4) The Project has not received and will not receive a rebate from the CORE program in force from 2001 to 2008.

**I further certify that** the Proponent is not part of a bidding agreement with another Proponent.

\_\_\_\_\_  
Name of Owner of the Project

\_\_\_\_\_  
Signature of Owner of the Project

\_\_\_\_\_  
Date

**I agree** to the terms of the SREC Purchase and Sales Agreement (“SREC PSA”) without modifications, including:

- 1) the requirement for an inspection of the Project at the Owner’s sole cost and expense to verify and certify that the SRECs generated by Seller’s Project are eligible for use in complying with the New Jersey RPS;
- 2) the requirement for the installation at Owner’s expense of an EDC kilowatt-hour meter located in accordance with the EDC’s standards and capable of measuring the electricity generated from the continued operation of the Project throughout the term of the SREC PSA so as to be reported to the EDC and PJM-EIS-GATS; and
- 3) the requirement for the Owner to agree to arrange for net metering arrangements with the EDC that meets the requirements of the Board’s net metering rules and any applicable EDC tariff provisions.

**I agree that:**

- 1) the Proponent will leave its Pricing Proposal open for a period of one hundred and twenty (120) days from Bid Day;
- 2) if the Proponent becomes a Successful Proponent, the Owner of the Project will sign the SREC PSA within five (5) business days of being given a Final Notice of Award by the EDC and, if the Owner of the Project is not the same individual or entity as the Host, will provide three (3) originals of Appendix C to the SREC PSA with original signatures from the Host; and
- 3) the Owner of the Project will provide PJM-EIS-GATS with a signed Schedule A (Generator Owner’s Consent) when required to do so under the SREC PSA.

\_\_\_\_\_  
Name of Owner of the Project

\_\_\_\_\_  
Signature of Owner of the Project

\_\_\_\_\_  
Date

**Section 4. Customer Acknowledgment**

*First Item:* The Customer must describe the role of the Developer below.

*Second Item:* Please specify who is the Owner of the Project is: (*Please choose ONLY ONE option*)

- The Customer**                       **The Developer**                       **Other:** (Please Specify)  
*Please choose ONLY ONE option.*

*Third Item:* Please choose one of the options below. **The customer** must sign the acknowledgment.

**OPTION 1: The Customer is not the Owner of the Project.**

The Customer must sign below to acknowledge that (i) the Customer will agree to net metering arrangements with the EDC should the Proposal be successful, and (ii) the Owner of the Project named in this Proposal and identified above will, should the Proposal be successful, be the signatory to the SREC PSA.

**OPTION 2: The Customer is the Owner of the Project.**

The Customer must sign below to acknowledge that the Customer is the Owner of the Project and that, should the Proposal be successful, the Customer will be the signatory to the SREC PSA.

\_\_\_\_\_  
Name of Customer

\_\_\_\_\_  
Signature of Customer

\_\_\_\_\_  
Date

**Section 5. Appendix C to SREC PSA**

You have identified in Section 4 the Owner of the Project. Please identify the “Host” below, namely the party who owns the premises or facility where the Project will reside (*Please choose ONLY ONE option*).

**The Customer**                       **The Developer**                       **Other: (Please Specify)**

Are the:

- 1) the Owner of the Project and
  - 2) the Owner of the premises or facility where the Project will reside (the “Host”)
- the same individual or Company?

**If yes**, please proceed to the next section.

**If no**, you are required to submit **one (1) electronic copy in pdf format of Appendix C to the SREC PSA** duly completed and signed by the Owner of the premises where the Project will reside (“Host”). The Owner of the Project may instead submit three (3) originals of Appendix C to the SREC PSA with original signatures from the Host, in which case the Solicitation Manager will transmit these signature pages to the EDC if the Board approves the Proposal for the Project.

As a courtesy to Proponents, the Solicitation Manager will, at the Proponent’s request, prepare Appendix C to the SREC PSA based on information submitted by the Proponent in its Project Proposal Summary Sheet provided, however, that such request and such Project Proposal Summary Sheet be submitted at [NJSolar@nera.com](mailto:NJSolar@nera.com) by the deadline for submission of EOIs.

**Section 6. Checklist**

One (1) copy of the Project Proposal Summary Sheet (Appendix 4) submitted electronically by email to the Solicitation Manager at [NJSolar@nera.com](mailto:NJSolar@nera.com)

Remainder of the qualification package must be sent by overnight delivery service to:

Solicitation Manager  
One Gateway Center, Suite 720  
Newark, NJ 07102  
Phone: (973) 297-0880  
Fax: (973) 297-0246

For each Proponent's convenience, a list of documents to be included with the remainder of the qualification package is provided below.

Three (3) originals of this Certifications and Checklist for Qualifications (Appendix 5)

One (1) copy of the Project Acceptance Letter under the Initial Application process of the REIP or SREC Registration Program

One (1) copy of the completed application materials under the REIP or the SREC Registration Program, including all attachments

**If the Owner of the Project is not the Host:** One (1) electronic copy in pdf format of Appendix C to the SREC PSA duly completed and signed by the Owner of the premises where the Project will reside ("Host"). The Owner of the Project may also satisfy this requirement by submitting three (3) originals of Appendix C to the SREC PSA with original signatures from the Host, in which case the Solicitation Manager will transmit these signature pages to the EDC if the Board approves the Proposal for the Project.